

Atty Ref/Docket No.: H16-26292 (256.006US1)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Anoop Kumar Mathur, Vipin Gopal, Jan Jelinek and  
Tariq Samad

## 2. Name and address of receiving party(ies):

Name: Honeywell International Inc.

Additional name(s) of conveying party(ies) attached? [ ]Yes [X]No

Street Address: 101 Columbia Road  
AB2 Building

## 3. Nature of conveyance:

[X] Assignment [ ] Merger  
 [ ] Security Agreement [ ] Change of Name  
 [ ] Other \_\_\_\_\_

City: Morristown State: NJ ZIP: 07962

Additional name(s) &amp; address(es) attached? [ ]Yes [X]No

Execution Date: December 22, 27, and 29, 2000; January 4, 2001

RECEIVED

JUN 6 2001

Technology Center 2100

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

Serial No. 09/549,620, filed April 14, 2000

## B. Patent No.(s)

Additional numbers attached? [ ]Yes [X]No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

## 6. Total number of applications and patents involved: \_\_\_\_\_

Name: John G. Shudy, Jr. \_\_\_\_\_

7. Total fee (37 CFR 3.41): \$ 40.00

Address: Honeywell International Inc.  
Law Dept. AB2  
P.O. Box 2245  
Morristown, NJ 07962-9806

[X] Enclosed

[ ] Authorized to be charged to deposit account

8. Please charge any additional fees or credit any overpayments to our  
Deposit account number: 19-0743

## DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ann M. McCrackin/Reg. No. 42,858  
Name of Person SigningAnn M. McCrackin  
SignatureJan. 11, 2001  
Date

Total number of pages including cover sheet: 3

OMB No. 0651-0011 (exp. 4/94)

## Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Box Assignments  
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.

JAN-08-2001 09:33

HTC

22 Dec 2000 06:23PM --- This page sent CLERR --- From: 6123393861 CMX:NA Page 694  
12/22/00 17:23 FAX 6123393861 SCHWEGMAN, LUNDBERG, WOESSNER  
004/007

612 951 7438 P.05/08

6123393861 CMX:NA Page 694

004/007

Attorney Docket No. H16-26292 (256.066US1)

SCHWEGMAN ■ LUNDBERG ■ WOESSNER ■ KLUTZ

**United States Patent Application  
COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: ADVANCED RECIPE - A KNOWLEDGE BASED INFORMATION SYSTEM FOR PRODUCTION PROCESSES.

The specification of which was filed on April 14, 2000 as application serial no. 09/549,620.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 C.F.R. § 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or PCT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. § 1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. § 120 or 365(c) of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

such claim for priority is being made at this time.

22 Dec 2000 06:24PM — This page sent CLEAR — From: 6123393061 SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
12/22/00 17:24 PAX 6123393061

612 951 7438 P.06/08  
6123393061 CHK#:PA Pag 685  
005/007

Page 2 of 4

Attorney Docket No.: H16-26292 (256.066US1)  
Serial No. 09/549,620  
Filing Date: April 14, 2000

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael Beckman, Marvin L. Bianchi, Timothy E. Billion, Richard E. Black, David W. Brennan, Leonard M. Brennan, Thomas F. Brooks, Edward J., III Cahn, Dinh C.P. Clark, Barbara J. Cline, Timothy B. Dall, John M. Drake, Eduardo E. Embreton, Janet E. Fordenbacher, Paul J. Forrest, Bradley A. Ganon, Owen J. Harris, Robert J. Hill, Stanley K.	Reg. No. 24,916 Reg. No. 38,377 Reg. No. 39,610 Reg. No. 32,836 Reg. No. 42,331 Reg. No. 35,832 Reg. No. 35,075 Reg. No. 40,925 Reg. No. 41,676 Reg. No. 38,107 Reg. No. 40,957 Reg. No. 44,639 Reg. No. 40,594 Reg. No. 39,665 Reg. No. 42,546 Reg. No. 30,837 Reg. No. 36,143 Reg. No. 37,346 Reg. No. 37,548	Huebch, Joseph C. Jarkovich, Paul J. Kalis, Janal M. Kaufmann, John D. Klima-Silberg, Catherine I. Kluth, Daniel J. Lacy, Rodney L. Lemaitre, Charles A. LeMenne, Dana B. Lundberg, Steven W. Macystek, Paul L. Maki, Peter C. Malen, Peter L. Mates, Robert E. McCrackin, Ann M. Moore, Charles L., Jr. Nama, Kash Nelson, Albin J. Nielsen, Walter W.	Reg. No. 42,673 Reg. No. 44,813 Reg. No. 37,650 Reg. No. 24,017 Reg. No. 40,052 Reg. No. 32,146 Reg. No. 41,136 Reg. No. 36,198 Reg. No. 40,062 Reg. No. 30,568 Reg. No. 40,076 Reg. No. 42,832 Reg. No. 44,896 Reg. No. 35,271 Reg. No. 42,858 Reg. No. 33,742 Reg. No. 44,255 Reg. No. 28,650 Reg. No. 25,539	Oh, Allen J. Padys, Danny J. Parker, J. Kevin Pendok, Monique M. Peterson, David C. Prout, William F. Schuman, Sherry W. Schwegman, Michael L. Sead, John C. Smith, Michael G. Spieier, Gary J. Steffey, Charles E. Terry, Kathleen R. Tong, Viel V. Vikminis, Ann S. Vogel, Peter J. Woessner, Warren D. Shudy, John G. Jr.	Reg. No. 42,047 Reg. No. 35,635 Reg. No. 53,024 Reg. No. 42,989 Reg. No. P-47,857 Reg. No. 33,995 Reg. No. 30,422 Reg. No. 25,816 Reg. No. 38,613 Reg. No. 45,368 Reg. No. 45,458 Reg. No. 25,179 Reg. No. 31,884 Reg. No. 45,416 Reg. No. 37,748 Reg. No. 41,363 Reg. No. 30,440 Reg. No. 31,214
--	---	---	---	---	--

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Honeywell International Inc. and/or Schwegman, Lundberg, Woessner & Kluth, P.A. Please direct all correspondence in this case to Honeywell International Inc., at the address indicated below:

Law Dept. AB2

P.O. Box 2245, Morristown, NJ 07962-9806

Customer Number: 000128

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 1: Anoop Kumar Mathur

United States of America

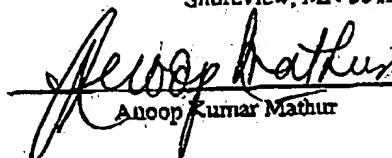
Residence: Shoreview, MN

Citizenship:

Post Office Address:

300 Harbor Lane  
Shoreview, MN 55126

Signature:



Date:

Dec 28, 2000

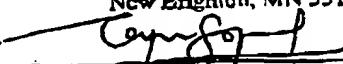
Full Name of joint inventor number 2: Vipin Gopal

Residence: New Brighton, MN

Citizenship:

Post Office Address:  
India  
1102 West County Road D, #215  
New Brighton, MN 55112

Signature:



Date:

Dec 22, 2000

Additional inventors are being named on separately numbered sheets, attached hereto.

JAN-08-2001 09:34

HTC

22 Dec 2000 06:25PM — This page sent CLEAR — From: 612 951 7438 P.07/08  
12/22/00 17:25 FAX 6123393061 SCHWEGMAN, LUNDBERG, WOES b1c33393061 LUNDBERG, WOES 008/007

Page 3 of 4

Attorney Docket No.: H16-26292 (256.066451)

Serial No. 09/549,620

Filing Date: April 14, 2000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of joint inventor number 3: Jan Jelink

Citizenship: United States of America  
Post Office Address: 10325 40th Avenue North  
Plymouth, MN 55441

Residence: Plymouth, MN

Signature: Jan Jelink

Date: Dec 4, 2001

Full Name of joint inventor number 4: Tariq Samad

Citizenship: United States of America  
Post Office Address: 5212 Xerxes Avenue South  
Minneapolis, MN 55410

Residence: Minneapolis, MN

Signature: Tariq Samad

Date: 27 December, 2000

Full Name of inventor:

Citizenship:

Post Office Address:

Residence:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Full Name of inventor:

Citizenship:

Post Office Address:

Residence:

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Attorney Docket No.: H16-26292 (256.066US1)

Serial No. 09/549,620

Filing Date: April 14, 2000

## § 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability, as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(i) prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.